



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/595,238	HARTMUT VOGEL	VOGEL

INTERNATIONAL APPLICATION NO.

PCT/EP04/10815

LA. FILING DATE	PRIORITY DATE
09/27/2004	09/29/2003

20151
 HENRY M FEIEREISEN, LLC
 350 FIFTH AVENUE
 SUITE 4714
 NEW YORK, NY 10118

CONFIRMATION NO. 5351

371 FORMALITIES LETTER



OC000000021555529

Date Mailed: 12/12/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/29/2006
- Copy of the International Search Report filed on 03/29/2006
- Preliminary Amendments filed on 03/29/2006
- Information Disclosure Statements filed on 03/29/2006
- U.S. Basic National Fees filed on 03/29/2006
- Priority Documents filed on 03/29/2006
- Non-English Language Application filed on 03/29/2006
- Specification filed on 03/29/2006
- Claims filed on 03/29/2006
- Abstracts filed on 03/29/2006
- Drawings filed on 03/29/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/595,238	PCT/EP04/10815	VOGEL

FORM PCT/DO/EO/905 (371 Formalities Notice)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: VOGEL

In re Application of:)	
HARTMUT VOGEL)	
Appl. No.: 10/595,238)	
Int. Filing Date: September 27, 2004)	Confirmation No.: 5351
For: SQUIRREL-CAGE ROTOR)	

SUBMISSION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States designated/elected office, mailed on December 12, 2006, applicant herewith submits the following items for filing in the above-referenced U.S. Patent Application:

☐ An English translation of the originally filed German language application.

The hereto attached English language text is an accurate translation of the originally filed German language application.

☐ Applicant claims small entity status pursuant to 37 C.F.R. 1.27.

☒ Declaration and Power of Attorney, dated March 22, 2006.

☒ Payment in the amount of \$130.00 to cover the surcharge of \$130.00 for late filing of the Declaration is being submitted via Credit Card.

☐ Filing Fee.

The filing fee is calculated as follows:

Basic National, Examination and Search Fees: \$ 0.00

Additional Fees:

Total number of claims: 14-20 \$ 0.00

Number of independent claims: 1-3 \$ 0.00

Submission of a multiple dependent claim: \$ 0.00

Reduction by 50% for a small entity \$

TOTAL \$

- ☒ Notification of Missing Requirements under 35 U.S.C. 371 in the United States designated/elected office (DO/EO/US).
- ☐ Application Data Sheet pursuant to 37 CFR 1.76.
- ☐ Preliminary Amendment.
- ☐ Request for Corrected Filing Receipt
- ☐ Information Disclosure Statement with ☒ references and ☒ PTO Form 1449.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted,

By: 

Henry M. Feiereisen
Agent for Applicant
Reg. No: 31,084

Date: January 23, 2007
350 Fifth Avenue
Suite 4714
New York, N.Y. 10118
(212) 244-5500
HMF:ub